

# UNITED STATE DEPARTMENT OF COMMERCE

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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 09/201,916 12/01/98 HOPE R DYOU17.001AU **EXAMINER** 020995 HM12/0621 KNOBBE MARTENS OLSON & BEAR LLP ZEMAN, R 620 NEWPORT CENTER DRIVE ART UNIT PAPER NUMBER SIXTEENTH FLOOR NEWPORT BEACH CA 92660 1645 **DATE MAILED:** 06/21/00

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

## Office Action Summary

Application No. 09/201,916 Applic\_f(s

Hope et al.

Examiner

Robert A. Zeman

Group Art Unit 1645

nse to this action is set to expire	X Responsive to communication(s) filed on <u>Dec 1, 1998</u>	
der Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.  Inse to this action is set to expire	☐ This action is <b>FINAL</b> .	
communication. Failure to respond within the period for response will cause the B.U.S.C. § 133). Extensions of time may be obtained under the provisions of is/are pending in the application.	☐ Since this application is in condition for allowance except for formal in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D.	
is/are withdrawn from consideration.  is/are allowed.  is/are rejected.  is/are objected to.  are subject to restriction or election requirement.  sperson's Patent Drawing Review, PTO-948.  is/are objected to by the Examiner.  is/are objected to by the Examiner.  is/are objected to by the Examiner.  of filed on isapproveddisapproved.  by the Examiner.  ed to by the Examiner.  claim for foreign priority under 35 U.S.C. § 119(a)-(d).  If the CERTIFIED copies of the priority documents have been  i. (Series Code/Serial Number)  age application from the International Bureau (PCT Rule 17.2(a)).  claim for domestic priority under 35 U.S.C. § 119(e).  Prawing Review, PTO-948	is longer, from the mailing date of this communication. Failure to resp	pond within the period for response will cause the
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the CERTIFIED copies of the priority documents have been  (Series Code/Serial Number)  (age application from the International Bureau (PCT Rule 17.2(a)).  (claim for domestic priority under 35 U.S.C. § 119(e).  (s), PTO-1449, Paper No(s)  Drawing Review, PTO-948	Priority under 35 U.S.C. § 119	
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rage application from the International Bureau (PCT Rule 17.2(a)).  claim for domestic priority under 35 U.S.C. § 119(e).  -892 t(s), PTO-1449, Paper No(s)  Drawing Review, PTO-948	☐ All ☐ Some* ☐ None of the CERTIFIED copies of the p	riority documents have been
rage application from the International Bureau (PCT Rule 17.2(a)).  claim for domestic priority under 35 U.S.C. § 119(e).  -892 t(s), PTO-1449, Paper No(s)  Drawing Review, PTO-948	☐ received.	
claim for domestic priority under 35 U.S.C. § 119(e).  -892 t(s), PTO-1449, Paper No(s)  Drawing Review, PTO-948	received in Application No. (Series Code/Serial Number)	
claim for domestic priority under 35 U.S.C. § 119(e).  -892 t(s), PTO-1449, Paper No(s)  Drawing Review, PTO-948		
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t(s), PTO-1449, Paper No(s)  Drawing Review, PTO-948	☐ Acknowledgement is made of a claim for domestic priority unde	er 35 U.S.C. § 119(e).
t(s), PTO-1449, Paper No(s)  Drawing Review, PTO-948	Attachment(s)	
Drawing Review, PTO-948	□ Notice of References Cited, PTO-892	
	☐ Information Disclosure Statement(s), PTO-1449, Paper No(s)	
	☐ Interview Summary, PTO-413 ☐ Notice of Draftsperson's Patent Drawing Review, PTO-948	
SEE OFFICE ACTION ON THE FOLLOWING PAGES	<ul> <li>□ Notice of Draftsperson's Patent Drawing Review, PTO-948</li> <li>□ Notice of Informal Patent Application, PTO-152</li> </ul>	

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### **DETAILED ACTION**

#### Election/Restriction

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- Claims 1-7 and 17-18, drawn to methods for identifying substances capable of affecting viral infections, classified in class 435, subclass 5.
- II. Claim 8, 10, 15-16, 19 and 21 substances capable of affecting viral infections, which are unclassifiable.
- III. Claims 11-12 and 14, drawn to protein compositions, classified in class 530, subclass 350 and class 514, subclass 2+.
- IV. Claim 13 and 20, drawn to polynucleotides, classified in class 536, subclass **25** 23 /+, and class 514, subclass 40+.

The inventions are distinct, each from the other because of the following reasons:

Inventions I and II are related as process of use and product. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case, the unclassifiable substances of Invention II can be used in

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other methods such as immunization or in affinity purification of antibodies if said substance where an protein or sterilization if said substance were an alcohol.

Inventions I and Lare related as process of use and product. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case, the protein compositions of Invention III can be used in other methods such as immunization or in affinity purification of antibodies.

Inventions I and IV are related as process of use and product. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case, the polynucleotides of Invention IV can be used in other methods such as recombinant protein synthesis or gene therapy.

Inventions II and III are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are separate and distinct as they comprise completely differing biochemical and immunological entities having differing properties and uses. Invention II is drawn to unclassifiable substances, while Invention III is drawn to protein compositions.

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Inventions II and IV are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are separate and distinct as they comprise completely differing biochemical and immunological entities having differing properties and uses. Invention II is drawn to unclassifiable substances, while Invention IV is drawn to polynucleotides.

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Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

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Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(I).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Robert A. Zeman whose telephone number is (703) 308-7991. The examiner can be reached between the hours of 7:30 am and 4:00 pm Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, Donna Wortman, Primary Examiner can be reached at (703) 308-1032 or the examiner's supervisor, Anthony Caputa, can be reached at (703)308-3995.

DONNA WORTMAN PRIMARY EXAMINED

Robert A. Zeman

June 19, 2000